# FORM D

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

# SI

## FORM D

# NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D, SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION

1346498

OMB APPROVAL

OMB Number: 3235-0076

Expires: April 30, 2008

Estimated average burden hours per response...

16.00



Name of Offering ( check if this is an amendment and name has changed, and indicate change.) GLOBAL ALTERNATIVES FUND, LLC Filing Under (Check box(es) that ☐ Rule 504 ☐ Rule 505 **⊠** Rule 506  $\square$  Section 4(6) □ ULOE apply): Type of Filing: New Filing ☐ Amendment A. BASIC IDENTIFICATION DATA 1. Enter the information requested about the issuer THOMSON Name of Issuer ( \subseteq check if this is an amendment and name has changed, and indicate change.) Global Alternatives Fund, LLC Address of Executive Offices (Number and Street, City, State, Zip Code) Telephone Number (Including Area Code) Greensboro, NC 27401 (919) 647-4671 423 Eugene Court Address of Principal Business Operations (Number and Street, City, State, Zip Code) Telephone Number (Including Area Code) (if different from Executive Offices) **Brief Description of Business** To invest in the private sector of the Commodities Industry, specifically in the North Carolina region. Type of Business Organization corporation ☐ limited partnership, already formed other (please specify): Limited Liability Company business trust limited partnership, to be formed Month Year 06 05 Actual Estimated Actual or Estimated Date of Incorporation or Organization:  $\boxtimes$ Jurisdiction of Incorporation or Organization: (Enter two-letter U.S. Postal Service abbreviation for State: CN for Canada; FN for other foreign jurisdiction)

### **GENERAL INSTRUCTIONS**

### Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under <u>Regulation D</u> or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When to File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549. Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

### State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix in the notice constitutes a part of this notice and must be completed.

# **ATTENTION**

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption state exemption unless such exemption is predicated on the filing of a federal notice.

SEC 1972 (6-02) Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

### A. BASIC IDENTIFICATION DATA 2. Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer; Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. Check Box(es) that Beneficial T Executive □ General Director Apply: Promoter Owner Officer and/or Managing Partner Full Name (Last name first, if individual) Ada D. Beatty Business or Residence Address (Number and Street, City, State, Zip Code) 423 Eugene Court Greensboro, NC 27401 Check Box(es) that □ Beneficial ☐ Executive ⊠General Owner Officer Apply: Promoter Director and/or Managing Partner Full Name (Last name first, if individual) Albert C. Beatty Business or Residence Address (Number and Street, City, State, Zip Code) 423 Eugene Court Greensboro, NC 27401 Check Box(es) that □ Beneficial ☐ Executive □ General П П Owner Officer Promoter Director and/or Apply: Managing Partner Full Name (Last name first, if individual) George D. Mainor Business or Residence Address (Number and Street, City, State, Zip Code) 423 Eugene Court Greensboro, NC 27401 Check Box(es) that Beneficial ☐ Executive □ General Officer Apply: Promoter Owner Director and/or Managing Partner Full Name (Last name first, if individual) **Eukita Sessoms** Business or Residence Address (Number and Street, City, State, Zip Code) 423 Eugene Court Greensboro, NC 27401

Check Box(es) that

Apply:

Promoter

□ Beneficial

Owner

☐ Executive

Officer

Director

□ General

Managing

and/or

Full Name (Last name : Dr. Johnny Roy Sesson		al)			
Business or Residence 423 Eugene Court G			ate, Zip Code)		
Check Box(es) that Apply:	Promoter	⊠ Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name : Alicia Stevens	first, if individua	nl)			
Business or Residence 423 Eugene Court G			ate, Zip Code)		
Check Box(es) that Apply:	Promoter	⊠ Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name : Theodore Stevens	first, if individua	al)			
Business or Residence 423 Eugene Court G	Address (Numbereensboro, NC 2		ate, Zip Code)		
Check Box(es) that Apply:	Promoter	⊠ Beneficial Owner	Executive Officer	Director	General and/or Managing Partner
Full Name (Last name Dr. Alton Thompson	first, if individua	al)			
Business or Residence 423 Eugene Court G	Address (Number reensboro, NC 2		ate, Zip Code)		

1. Has t	he issuer	sold, or	does the	issuer int	end to se	ll, to non	-accredite	ed investor	rs in this o	offering?.		Yes No
			Ansv	ver also i	n Append	ix, Colu	mn 2, if fi	iling unde	r ULOE.			
2. What	is the m	inimum i						ividual?				10,000.00
						•	•					Yes No
3. Does the offering permit joint ownership of a single unit?												res ⊠ ⊓
indirect of secur register (5) pers	ly, any co rities in the ed with the ons to be	ommission he offerin he SEC a	on or siming. If a pend/or with a ssocial	ilar remu erson to b th a state	neration in e listed is or states,	for solicit s an assoc list the n	ation of printed per ame of the	ll be paid ourchasers son or age te broker our, you ma	s in conne ent of a br or dealer.	ction with oker or de If more th	n sales ealer han five	_
Full Na	me (Last	name fir	st, if indi	vidual)								
Busines	s or Resi	dence Ac	idress (N	umber a	nd Street,	City, Sta	ite, Zip Co	ode)				
Name o	f Associa	ated Brok	er or De	aler								
States in	n Which	Person L	isted Has	Solicite	d or Inten	ds to Sol	icit Purch	asers	, <del>,</del> .			
(Check	"All Stat	es" or ch	eck indiv	ridual Sta	ites)	*******					All Stat	es
AL 🗌	AK 🗌	AZ	AR	CA□	co□	CT	DE[	DC□	FL	GA	$HI \square$	ID
$\mathbb{L}\Box$	IN	$IA\square$	$KS\square$	$KY \square$	LA	ME 🗌	MD	MA	MI	MN	MS□	MO[
MT	NE.	NV□	NH	NJ□	NM	NY	NC 🗌	ND[]	OH[	OK□	OR□	PA□
RI□	SC□	SD□	TN	TX	UT	VT	VA□	WA□	$WV \square$	$W {\textstyle \bigsqcup} \; I$	WY□	PR□
Full Na	me (Last	name fir	st, if indi	vidual)					***************************************			
Busines	s or Resi	dence Ac	ldress (N	umber a	nd Street,	City, Sta	te, Zip C	ode)				
Name o	f Associa	ated Brok	er or De	aler								
States in	n Which	Person L	isted Has	Solicite	d or Inten	ds to Sol	icit Purch	asers				
(Check	"All Stat	es" or ch	eck indiv	ridual Sta	ites)						All States	S
AL 🗌	AK 🗌	AZ	AR□	CA 🗌	CO□	CT[	DE[	DC□	$FL \square$	GA	HI	$\operatorname{ID}\square$
${\rm IL} \square$	$IN\square$	IA	KS□	KY	LA	ME_	MD	$MA\square$	M	MN	MS	MO□
MT	NE 🗌	NV□	NH	NJ	NM	NY	NC□	ND	ОН□	OK□	OR 🗌	PA.
RI□	SC□	SD□	TN	TX	UT	VT	VA.	WA□	WV□	W I	WY□	PR□
Full Na	me (Last	name fir	st, if indi	vidual)	<del></del>		- · · · · · · · · · · · · · · · · · · ·					***************************************
Busines	s or Resi	dence A	ldress (N	umber a	nd Street,	City, Sta	te, Zip C	ode)				
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							icit Purch	asers				
(Check					ites)						All States	
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$\mathbb{L} \sqcup$	IN	IA[_]	KS.	KY	LA	ME	MD	MA	MI	MN	MS	MO

total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box ☐ and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.  Type of Security  Debt  Common Preferred  Convertible Securities (including warrants)  Partnership Interests  Other (Specify		et, as necessary.)	
total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box ☐ and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.  Type of Security  Debt  Common Preferred  Convertible Securities (including warrants)  Partnership Interests  Other (Specify	C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AN	D USE OF PROC	EEEDS
is an exchange offering, check this box  and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.    Aggregate	1. Enter the aggregate offering price of securities included in this offering and the	n	
Type of Security  Debt Sequity Sold Sold  Equity Common Preferred  Convertible Securities (including warrants) Synthesis Synth	is an exchange offering, check this box  and indicate in the columns below the	II	
Type of Security Debt	amounts of the securities offered for exchange and already exchanged.		
Debt   Equity   S   S   S     Equity   Common   Preferred     Convertible Securities (including warrants)   S   S     Partnership Interests   S   S     Partnership Interests   S   S     Other (Specify   S   S   S     Other (Specify   S   S   S   S     Other (Specify   S   S   S   S     Other (Specify   S   S   S   S   S     Other (Specify   S   S   S   S   S   S   S     Other (Specify   S   S   S   S   S   S   S   S   S	Type of Security		Amount Alre
Equity	· -	•	
Common Preferred Convertible Securities (including warrants) \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$			\$
Convertible Securities (including warrants) \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$	• •	Ψ	*
Partnership Interests		\$	\$
Other (Specify			\$
Total	•		
Answer also in Appendix, Column 3, if filing under ULOE.  2. Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines.  Enter "0" if answer is "none" or "zero."  Aggregate  Number Investors  Accredited Investors  Non-accredited Investors  Total (for filings under Rule 504 only)  Answer also in Appendix, Column 4, if filing under ULOE.  3. If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, the twelve (12) months prior to the first sale of securities in this offering.  Classify securities by type listed in Part C-Question 1.  Type of offering  Rule 505  Regulation A  Rule 504  Total  4. a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to finture contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.  Transfer Agent's Fees     S		- '	
securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."  Aggregate  Number  Dollar Amo Investors  Accredited Investors  Non-accredited Investors  Non-accredited Investors  Total (for filings under Rule 504 only)  Answer also in Appendix, Column 4, if filing under ULOE.  If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, the twelve (12) months prior to the first sale of securities in this offering.  Classify securities by type listed in Part C-Question 1.  Type of offering  Rule 505  Regulation A  Rule 504  Total  A Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.  Transfer Agent's Fees  Aggregate  Number  Aggregate  Number  Investors  Aggregate  Number  Investors  Aggregate  Number  Investors  Aggregate  Number  Investors  Aggregate  Dollar Amo  Solon  Solon  Type of Security  Dollar Amo  Sold  Type of Security  Sold  Type of Security  Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.  Transfer Agent's Fees		<b>4.</b> ,,	<b>, ,</b>
securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."  Aggregate  Number  Dollar Amo Investors  Accredited Investors  Non-accredited Investors  Non-accredited Investors  Total (for filings under Rule 504 only)  Answer also in Appendix, Column 4, if filing under ULOE.  If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, the twelve (12) months prior to the first sale of securities in this offering.  Classify securities by type listed in Part C-Question 1.  Type of offering  Rule 505  Regulation A  Rule 504  Total  A Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.  Transfer Agent's Fees  Aggregate  Number  Aggregate  Number  Investors  Aggregate  Number  Investors  Aggregate  Number  Investors  Aggregate  Number  Investors  Aggregate  Dollar Amo  Solon  Solon  Type of Security  Dollar Amo  Sold  Type of Security  Sold  Type of Security  Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.  Transfer Agent's Fees			
Non-accredited Investors			Aggregate Dollar Amou of Purchases
Total (for filings under Rule 504 only)	Accredited Investors		_\$
Answer also in Appendix, Column 4, if filing under ULOE.  3. If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, the twelve (12) months prior to the first sale of securities in this offering.  Classify securities by type listed in Part C-Question 1.  Type of offering Rule 505 Regulation A Rule 504 Total S Total S  4. a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.  Transfer Agent's Fees     S	Non-accredited Investors		_\$ 10,000.00
3. If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, the twelve (12) months prior to the first sale of securities in this offering.  Classify securities by type listed in Part C-Question 1.  Type of offering Rule 505	Total (for filings under Rule 504 only)		NONE
requested for all securities sold by the issuer, to date, in offerings of the types indicated, the twelve (12) months prior to the first sale of securities in this offering.  Classify securities by type listed in Part C-Question 1.  Type of offering Rule 505	Answer also in Appendix, Column 4, if filing under ULOE.		
Type of offering Rule 505	3. If this filing is for an offering under <u>Rule 504</u> or <u>505</u> , enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, the twelve (12) months prior to the first sale of securities in this offering Classify securities by type listed in Part C-Question 1.	<b>3</b> .	
Regulation A S S S S S S S S S S S S S S S S S S	• • •	Type of Security	Sola
Rule 504\$  Total\$  4. a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.  Transfer Agent's Fees			_\$
4. a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.  Transfer Agent's Fees.			- <sub>3</sub>
4. a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.  Transfer Agent's Fees	Kuie 504		
distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.  Transfer Agent's Fees	Total		_Φ
	Total		
Printing and Engraving Costs	4. a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.	_	7.

Legal Fees	•	<b>≦</b> \$10,000.00
Accounting Fees		<b>▼ \$10,000.00</b>
Engineering Fees		<u></u> \$
Sales Commissions (specify finders' fees separately)		⊒\$
Other Expenses (identify): Financial Advisor		\$25,000.00
Total		\$55,000.00
. Enter the difference between the aggregate offering price given in resp and total expenses furnished in response to Part C - Question 4.a. This ross proceeds to the issuer."	difference is the "adjusted bused or my purpose is	<sup>⊠</sup> \$4,945,000.00
f the payments listed must equal the adjusted gross proceeds to the issue		
response to Part C - Question 4.b above.		
	Payments to Officers, Directors, & Affiliates	Payments To Others
Salaries and fees	፟ \$150,000.00	o \$
Purchase of real estate	<b>\$</b>	- \$
Purchase, rental or leasing and installation of machinery and equipment	<b>\$</b>	- \$
Construction or leasing of plant buildings and facilities	<b>\$</b>	- \$
Acquisition of other businesses (including the value of securities involved in this offering that may be used in exchange for the assets or securities of another issuer pursuant to a merger)	<b>\$</b>	- \$
Repayment of indebtedness	<b>\$</b>	- <b>\$</b>
Working capital	<b>\$4,745,000</b>	\$
Other (specify):	<b>\$</b>	- \$
	□ \$	
Column Totals	<b>⊠</b> \$4,945,000	- \$
Column Totals		Φ
otal Payments Listed (column totals added)	<b>≥</b> \$4,9	945,000.00
otal Payments Listed (column totals added)	<b>⊠</b> \$4,9	945,000.00
D. FEDERAL SIGNATURE	E	
The issuer has duly caused this notice to be signed by the undersigned du	e issuer to furnish to the U.S	. Securities and
nder <u>Rule 505</u> , the following signature constitutes an undertaking by the exchange Commission, upon written request of its staff, the information occredited investor pursuant to paragraph (b)(2) of <u>Rule 502</u> .	Turnished by the issuer to an	-,
exchange Commission, upon written request of its staff, the information ccredited investor pursuant to paragraph (b)(2) of <u>Rule 502</u> .		
exchange Commission, upon written request of its staff, the information		Pate

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ne of Signer (P		Title of Signer (Print or Type)	
Johnny	R. Sessoms 7	the chairman	
/			
		ATTENTION	
Intentional r	nisstatements or omissions o	f fact constitute federal criminal violations. (See 18 U.S	S.C.
		1001.)	

E. STATE SIGNATURE

1. Is any party described in 17 CFR 230.262 presently subject to any of the disqualification provisions of such Yes No rule?

See Appendix, Column 5, for state response.

- 2. The undersigned issuer hereby undertakes to furnish to any state administrator of any state in which this notice is filed, a notice on Form D (17 CFR 239,500) at such times as required by state law.
- 3. The undersigned issuer hereby undertakes to furnish to the state administrators, upon written request, information furnished by the issuer to offerees.
- 4. The undersigned issuer represents that the issuer is familiar with the conditions that must be satisfied to be entitled to the Uniform limited Offering Exemption (ULOE) of the state in which this notice is filed and understands that the issuer claiming the availability of this exemption has the burden of establishing that these conditions have been satisfied.

The issuer has read this notification and knows the contents to be true and has duly caused this notice to be signed on its behalf by the undersigned duly authorized person.

Issuer (Print or Type)	Signatury Date	
Johnny R. Sessoms II	My Xb 11-	10-05
Name of Signer (Print or Type)	Title (Print or Type)	
Johnny R. Sessoms II	Chairman	

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

# **APPENDIX**

1	2		3			4		5	
	Intend to non-ac investors (Part B-	credited in State	Type of security and aggregate offering price offered in state (Part C-Item 1)		Type of investor and amount purchased in State (Part C-Item 2)				fication te ULOE attach tion of granted) Item 1)
Ctoto	Vaa	NI		Number of Accredited		Number of Non-Accredited	<b>A</b>	V	NT-
State	Yes	No		Investors	Amount	Investors	Amount	Yes	No
AK	3.4	<u> </u> 		1	l				<u> </u>
AZ		<u> </u>							1
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CT		1							<u> </u>
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NJ		<del> </del>							1
NM		1							1
NY	X		Fauity: CENTA		<b></b>	1	\$10,000.00		X
NC	<u></u>	1	Equity \$5MM		<u></u>	1	\$10,000.00		A

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OK					
OR					
PA					
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SC					
SD					
TN					
TX					
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WY					
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